



Express Mail No.: EV 475 142 961 US

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Application of: Srivastava *et al.*

Confirmation No.: 1802

Application No.: 09/873,403

Group Art Unit: 1643

Filed: June 4, 2001

Examiner: Christopher H. Yaen

For: COMPLEXES OF ALPHA(2)-  
MACROGLOBULIN AND ANTIGENIC  
MOLECULES FOR IMMUNOTHERAPY

Attorney Docket No.: 8449-178-999  
(CAM #708584-999177)

**SUPPLEMENTAL INFORMATION DISCLOSURE  
STATEMENT UNDER 37 C.F.R. § 1.56 AND § 1.97**

**MAIL STOP AMENDMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with the continuing duty of disclosure imposed by 37 C.F.R. § 1.56 and § 1.97 to inform the United States Patent and Trademark Office ("USPTO") of all references coming to the attention of each individual associated with the filing or prosecution of the subject application, which are or may be material to the patentability of any claim of the application, Attorneys for Applicant hereby invite the Examiner's attention to references **C01** to **C07** listed on the attached form entitled "List of References Cited by Applicant." Copies of references **C01** to **C07** are enclosed herewith.

Identification of the listed references is not meant to be construed as an admission of Applicants or Attorneys for Applicants that such references are available as "prior art" against the subject application.


Applicants respectfully request that the Examiner review the foregoing references and that the references be made of record in the file history of the application.

08/16/2006 MBLANCO 00000007 503013 09873403  
03 FC:1806 100.00 DA

Pursuant to 37 C.F.R. § 1.97(c), Applicants believe that a fee of \$180.00 is due in connection with the filing of this Supplemental Information Disclosure Statement. Please charge this amount, and any other required fee, to Jones Day deposit account no. 50-3013.

Respectfully submitted,

Date: August 14, 2006

  
Adriane M. Antler 32,605  
(Reg. No.)  
**JONES DAY**  
222 East 41<sup>st</sup> Street  
New York, New York 10017  
Phone: (212) 326-3939

## LIST OF REFERENCES CITED BY APPLICANT

(Use several sheets if necessary)



ATTY. DOCKET NO.

8449-178-999

APPLICATION NO.

09/873,403

APPLICANT

Srivastava *et al.*

FILING DATE

June 4, 2001

ART UNIT

1643

## U.S. PATENT DOCUMENTS

*Examiner Initials		Document Number	Date mm/dd/yy	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	A01				
	A02				
	A03				

## FOREIGN PATENT DOCUMENTS

*Examiner Initials		Foreign Patent Document Country Code, Number, Kind Code (if known)	Date mm/dd/yy	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T
	B01					
	B02					
	B03					

## NON PATENT LITERATURE DOCUMENTS

*Examiner Initials		Include name of the author (in CAPITAL LETTERS), (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T
	C01	Binder <i>et al.</i> "Naturally formed or artificially reconstituted non-covalent alpha2-macroglobulin-peptide complexes elicit CD91-dependent cellular immunity." <i>Cancer Immun.</i> 2002 Dec 18;2:16.	
	C02	Bhattacharjee <i>et al.</i> "The conformation-dependent interaction of alpha 2-macroglobulin with vascular endothelial growth factor. A novel mechanism of alpha 2-macroglobulin/growth factor binding." <i>J Biol Chem.</i> 2000 Sep 1;275(35):26806-11.	
	C03	Birkenmeier <i>et al.</i> "Human leptin forms complexes with alpha 2-macroglobulin which are recognized by the alpha 2-macroglobulin receptor/low density lipoprotein receptor-related protein." <i>Eur J Endocrinol.</i> 1998 Aug;139(2):224-30.	
	C04	Krimbou <i>et al.</i> "Interaction of lecithin:cholesterol acyltransferase (LCAT).alpha 2-macroglobulin complex with low density lipoprotein receptor-related protein (LRP). Evidence for an alpha 2-macroglobulin/LRP receptor-mediated system participating in LCAT clearance." <i>J Biol Chem.</i> 2001 Aug 31;276(35):33241-8. Epub 2001 Jul 2.	
	C05	Kessler. "Rapid isolation of antigens from cells with a staphylococcal protein A-antibody adsorbent: parameters of the interaction of antibody-antigen complexes with protein A." <i>J Immunol.</i> 1975 Dec;115(6):1617-24.	
	C06	Bizik <i>et al.</i> "Release of an Mr 140,000 glycoprotein in the culture media of certain human sarcoma and melanoma cell lines." <i>Eur J Cancer Clin Oncol.</i> 1985 Mar;21(3):317-24.	
	C07	Borth. "Alpha 2-macroglobulin, a multifunctional binding protein with targeting characteristics." <i>FASEB J.</i> 1992 Dec;6(15):3345-53.	
	C08		
	C09		
	C10		

NYJD-1629579v2

EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.